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# Deletional bias and the evolution of bacterial genomes

Alex Mira, Howard Ochman and Nancy A. Moran

Although bacteria increase their DNA content through horizontal transfer and gene duplication, their genomes remain small and, in particular, lack nonfunctional sequences. This pattern is most readily explained by a pervasive bias towards higher numbers of deletions than insertions. When selection is not strong enough to maintain them, genes are lost in large deletions or inactivated and subsequently eroded. Gene inactivation and loss are particularly apparent in obligate parasites and symbionts, in which dramatic reductions in genome size can result not from selection to lose DNA, but from decreased selection to maintain gene functionality. Here we discuss the evidence showing that deletional bias is a major force that shapes bacterial genomes.

When compared with eukaryotes, bacteria, including eubacteria and archaeobacteria, accommodate a rather narrow range of variation in genome size. Whereas eukaryotic genomes vary in size by four orders of magnitude (from about 10<sup>7</sup>–10<sup>11</sup> basepairs), there is only about one order-of-magnitude difference across bacterial genome sizes<sup>1–3</sup>. However, the difference in the ranges of genome size in eukaryotes and bacteria is not reflected in corresponding differences in gene number. Unlike eukaryotes, the genome size variation in bacteria translates almost directly into biochemical, physiological and organismal complexity because the majority of sequences are functional protein-coding regions (Fig. 1). Among bacteria for which complete genomic sequences are available, a tenfold variation in genome size is reflected by a similar difference in total gene number<sup>4,5</sup> (Table 1). By contrast, yeast and humans have genomes that differ by almost 300-fold in size, yet they have only a sixfold difference in gene number<sup>6–8</sup>.

What is the source of variation in genome size in bacteria? On the basis of the distribution of genome sizes and the orientation of apparently duplicated genes, it was once thought that new bacterial genomes evolved by repeated events of genome doubling<sup>1,9,10</sup>. However, subsequent analyses of additional genomes provided several lines of evidence against this hypothesis. First, related bacteria having genomes of similar sizes often contain very different complements of genes, and arrangements of duplicated genes are not consistent across taxa<sup>11,12</sup>. Second, the variation in

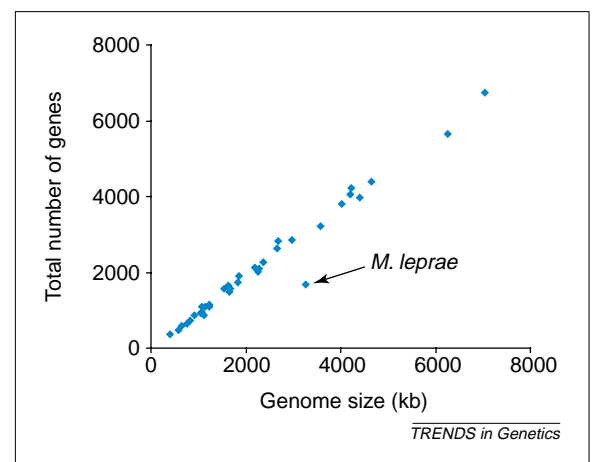


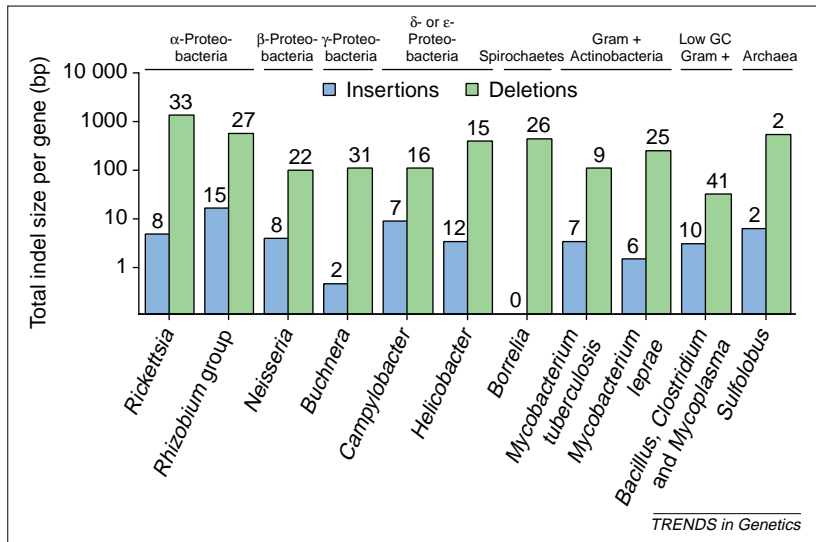
Fig. 1. Association between genome size and gene number in bacteria. Numbers include protein-coding and RNA genes ( $R^2 = 0.945$ ). When the number of annotated pseudogenes is added to the number of functional genes, *Mycobacterium leprae* falls on the regression line. Taxa are listed in Table 1.

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Table 1. Characteristics of sequenced prokaryotic genomes

|   | Taxonomic group         | Genome size (bp) | % occupied by genes <sup>a</sup> | Total gene number | Average gene length (bp) | Pseudogene number <sup>b</sup> | Mean spacer length <sup>c</sup> (bp) | Median spacer length <sup>c</sup> (bp) |
|---|-------------------------|------------------|----------------------------------|-------------------|--------------------------|--------------------------------|--------------------------------------|--|
| <b>Eubacteria</b>                           |                         |                  |                                  |                   |                          |                                |                                      |  |
| <i>Mycoplasma genitalium</i>                | Low GC Gram +           | 580 074          | 90.4                             | 481               | 1094                     | –                              | 116.0                                | 4                                      |
| <i>Buchnera aphidicola</i>                  | γ-Proteobacteria        | 640 681          | 87.9                             | 601               | 937                      | 8                              | 127.5                                | 74                                     |
| <i>Ureaplasma urealyticum</i>               | Low GC Gram +           | 751 719          | 92.5                             | 652               | 1069                     | –                              | 86.9                                 | 37                                     |
| <i>Mycoplasma pneumoniae</i>                | Low GC Gram +           | 816 394          | 88.8                             | 732               | 812                      | –                              | 124.9                                | 21                                     |
| <i>Borrelia burgdorferi</i>                 | Spirochaetales          | 910 724          | 94.2                             | 873               | 986                      | – <sup>e</sup>                 | 60.1                                 | 19                                     |
| <i>Chlamydia trachomatis</i>                | Chlamydiales            | 1 042 519        | 90.9                             | 937               | 1013                     | –                              | 101.5                                | 53                                     |
| <i>Chlamydia muridarum</i>                  | Chlamydiales            | 1 069 411        | 90.9                             | 949               | 1029                     | –                              | 102.6                                | 43                                     |
| <i>Rickettsia prowazekii</i>                | α-Proteobacteria        | 1 111 523        | 76.0                             | 871               | 971                      | 12                             | 306.2                                | 123                                    |
| <i>Treponema pallidum</i>                   | Spirochaetales          | 1 138 011        | 93.1                             | 1082              | 986                      | –                              | 72.9                                 | 35                                     |
| <i>Chlamydomydia pneumoniae</i> J138        | Chlamydiales            | 1 228 267        | 89.6                             | 1110              | 997                      | –                              | 115.5                                | 52                                     |
| <i>Chlamydomydia pneumoniae</i> AR39        | Chlamydiales            | 1 229 858        | 89.2                             | 1152              | 956                      | –                              | 115.7                                | 49                                     |
| <i>Chlamydomydia pneum.</i> CWCL029         | Chlamydiales            | 1 230 230        | 88.8                             | 1097              | 1000                     | –                              | 126.2                                | 55                                     |
| <i>Aquifex aeolicus</i>                     | Aquificales             | 1 551 335        | 93.7                             | 1574              | 930                      | –                              | 62.5                                 | 10                                     |
| <i>Campylobacter jejuni</i>                 | ε-Proteobacteria        | 1 641 481        | 94.3                             | 1654              | 939                      | 20                             | 56.4                                 | 8                                      |
| <i>Helicobacter pylori</i> J99              | ε-Proteobacteria        | 1 643 831        | 90.1                             | 1491              | 996                      | – <sup>f</sup>                 | 108.9                                | 23                                     |
| <i>Helicobacter pylori</i> 26695            | ε-Proteobacteria        | 1 667 867        | 88.4                             | 1553              | 952                      | – <sup>f</sup>                 | 124.9                                | 25                                     |
| <i>Haemophilus influenzae</i>               | γ-Proteobacteria        | 1 830 138        | 87.0                             | 1745              | 914                      | –                              | 136.5                                | 68                                     |
| <i>Thermotoga maritima</i>                  | Thermotogales           | 1 860 725        | 93.8                             | 1895              | 927                      | –                              | 60.7                                 | 6                                      |
| <i>Neisseria meningitidis</i> strain A      | β-Proteobacteria        | 2 184 406        | 82.7                             | 2121              | 853                      | 56                             | 178.0                                | 85                                     |
| <i>Pasteurella multocida</i>                | γ-Proteobacteria        | 2 257 487        | 88.9                             | 2015              | 998                      | –                              | 124.7                                | 64                                     |
| <i>Neisseria meningitidis</i> strain B      | β-Proteobacteria        | 2 272 351        | 78.6                             | 2096              | 853                      | – <sup>g</sup>                 | 231.9                                | 94                                     |
| <i>Lactococcus lactis</i>                   | Low GC Gram +           | 2 365 589        | 84.7                             | 2268              | 882                      | –                              | 159.7                                | 96                                     |
| <i>Xylella fastidiosa</i>                   | γ-Proteobacteria        | 2 679 306        | 83.8                             | 2822              | 797                      | –                              | 154.4                                | 76                                     |
| <i>Deinococcus radiodurans</i> <sup>d</sup> | Thermus/<br>Deinococcus | 3 060 986        | 88.7                             | 2996              | 960                      | –                              | 122.1                                | 44                                     |
| <i>Mycobacterium leprae</i>                 | Actinobacteria          | 3 268 203        | 76.8                             | 2770              | 908                      | 1081                           | 546.4                                | 106                                    |
| <i>Synechocystis</i> PCC6803                | Cyanobacteria           | 3 573 470        | 87.0                             | 3219              | 969                      | –                              | 143.9                                | 103                                    |
| <i>Caulobacter crescentus</i>               | α-Proteobacteria        | 4 016 947        | 90.5                             | 3794              | 961                      | –                              | 201.6                                | 62                                     |
| <i>Vibrio cholerae</i> <sup>d</sup>         | γ-Proteobacteria        | 4 033 464        | 86.4                             | 3949              | 876                      | –                              | 136.6                                | 78                                     |
| <i>Bacillus halodurans</i>                  | Low GC Gram +           | 4 202 353        | 84.9                             | 4066              | 879                      | –                              | 156.4                                | 87                                     |
| <i>Bacillus subtilis</i>                    | Low GC Gram +           | 4 214 814        | 87.8                             | 4221              | 880                      | –                              | 121.9                                | 72                                     |
| <i>Mycobacterium tuberculosis</i>           | Actinobacteria          | 4 411 529        | 90.5                             | 3970              | 1009                     | 9                              | 105.2                                | 49                                     |
| <i>Escherichia coli</i> K12                 | γ-Proteobacteria        | 4 639 221        | 87.9                             | 4405              | 1027                     | –                              | 128.8                                | 63                                     |
| <i>Pseudomonas aeruginosa</i>               | γ-Proteobacteria        | 6 264 403        | 89.5                             | 5640              | 995                      | –                              | 116.6                                | 68                                     |
| <i>Mesorhizobium loti</i>                   | α-Proteobacteria        | 7 036 074        | 86.4                             | 6752              | 905                      | –                              | 142.2                                | 73                                     |
| <b>Averages</b>                             |                         |                  | <b>88.0</b>                      | <b>1860</b>       | <b>947</b>               |                                | <b>147.0</b>                         | <b>57</b>                              |
| <b>Archaea</b>                              |                         |                  |                                  |                   |                          |                                |                                      |  |
| <i>Thermoplasma acidophilum</i>             | Thermoplasmatales       | 1 564 906        | 86.0                             | 1526              | 897                      | –                              | 134.3                                | 65                                     |
| <i>Thermoplasma volcanium</i>               | Thermoplasmatales       | 1 584 804        | 85.7                             | 1548              | 880                      | –                              | 146.1                                | 53                                     |
| <i>Methanococcus jannaschii</i>             | Methanococcales         | 1 664 970        | 87.8                             | 1758              | 834                      | –                              | 115.6                                | 49                                     |
| <i>Pyrococcus horikoshii</i>                | Thermococcales          | 1 738 505        | 84.4                             | 2113              | 814                      | –                              | 128.8                                | 13                                     |
| <i>Methanobacterium thermoautotrophicum</i> | Methanobacteriales      | 1 751 377        | 90.8                             | 1916              | 832                      | –                              | 83.8                                 | 37                                     |
| <i>Pyrococcus abyssi</i>                    | Thermococcales          | 1 765 118        | 81.8                             | 1765              | 912                      | –                              | 93.5                                 | 14                                     |
| <i>Halobacterium</i> sp.                    | Halobacteriales         | 2 014 239        | 88.0                             | 2110              | 841                      | –                              | 115.3                                | 56                                     |
| <i>Archaeoglobus fulgidus</i>               | Archaeoglobales         | 2 178 400        | 91.7                             | 2420              | 832                      | –                              | 74.5                                 | 13                                     |
| <b>Averages</b>                             |                         |                  | <b>87.0</b>                      | <b>1983</b>       | <b>855</b>               |                                | <b>112.0</b>                         | <b>37</b>                              |

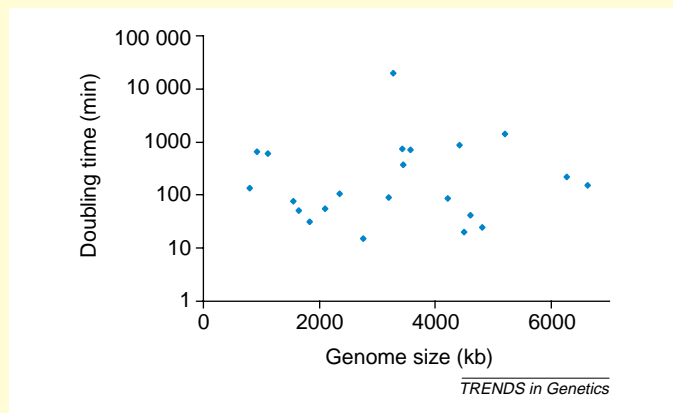
<sup>a</sup>Includes RNA genes.<sup>b</sup>Includes only annotated chromosomal pseudogenes.<sup>c</sup>Spacers between overlapping genes are scored as zero.<sup>d</sup>Chromosomes 1 and 2 combined.<sup>e</sup>Although no chromosomally encoded pseudogenes have been identified, *Borrelia* contains many plasmid-borne pseudogenes.<sup>f</sup>Sequenced genome contains no annotated pseudogenes, but at least six were identified in other strains.<sup>g</sup>Sequenced genome contains no annotated pseudogenes, but at least five were identified in other strains.



**Fig. 2.** Frequency of deletions and insertions in bacterial genomes. Frequencies based on comparative analyses of pseudogenes with their functional counterparts from a closely related species, generally from the same genus, and with at least one functional gene in a closely related outside reference species. Bars represent the average total size of deletions and insertions per pseudogene. Numbers at tops of bars represent the numbers of each type of event. Analyzed pseudogenes were: *hmbR*, *opaA*, *opaB*, *yedI*\*, *fhuA*, *porA*, *pilC2*, *opcB* (*Neisseria meningitidis*, *Neisseria gonorrhoeae*); *kdpA*, *kdpC*, *ast*, *glpT*\*, *cj0565*, *cj794*\*\* (*Campylobacter jejuni*); *fhuA*, cytochrome P-450, IS1380, *egl*, *groEL* (*Rhizobium* sp.); *vaca*, *oipA*, *iceA*, *rfaJ*, OMP29, HP1589 (*Helicobacter pylori*); *ahcY* (*Sulfolobus solfataricus*); *groES2* (*Rhodobacter sphaeroides*); *msp1b1pg* (*Anaplasma marginale*); ORF3, *aatA* (*Agrobacterium rhizogenes*); *epsD* (*Azospirillum brasilense*); *oxyR*, Rv1503c-Rv1504c, *hypB*, Rv3349c\*\* (*Mycobacterium tuberculosis*); *bfrB*, *csp*, *ackA-pta*, *fadE7*, *cysM* (*Mycobacterium leprae*); *vmp*, *vlp*, BBG20\*\*, BBQ71\*\*, BBQ55 (*Borrelia* sp.); *hmw2*, *lppA* (*Mycoplasma* sp.); *treP*, *hblB*, transposase, *s14*, *fhuC* (*Bacillus* sp.); *cpe*, *p-21* (*Clostridium* sp.); recombinase, *sat4* (*Staphylococcus* sp.); *hisG*, *hisC* (*Lactococcus lactis*); *ace* (*Enterococcus faecalis*). Genes marked with an asterisk are those in which a functional equivalent in the same bacterial group could not be found; two asterisks indicate that no suitable homolog could be found in an outside reference species.

### Box 1. Replication advantage: organellar and bacterial genomes compared

The compactness of bacterial and organellar genomes is often attributed to selection for rapid replication of DNA (Refs a–g). It is known, for example, that mitochondria with chromosomal deletions accumulate with time in animal tissues<sup>h</sup> and that plant plastids with deletions can accumulate *in vitro*<sup>i</sup>. Although organelles are derived from bacteria, the latter have a complex cell structure, and chromosomal replication rate has little effect on cell division rate. Comparing across diverse bacterial taxa, doubling times show no relationship with genome size (see Fig. 1). Strains of *E. coli* can vary by as much as 25% in chromosome length, but growth rates are not correlated with genome size<sup>j</sup>, under either rich or poor nutrient conditions<sup>k</sup>. Moreover, the translational efficiency of ribosomes and tRNA abundance, not replication rate, are primary determinants of cell division rates in bacterial isolates<sup>l–n</sup>. It is unclear what selective forces act on growth rates under natural conditions: wild strains of *E. coli* are highly variable in their doubling times<sup>o</sup>, and certain intracellular bacteria with reduced genomes display the slowest growth rates among prokaryotes<sup>p</sup>. The translational machinery comprises about half of the dry weight of a bacterial cell, and the process consumes up to 80% of the cell's energy<sup>q</sup>. It is



**Fig. 1.** Doubling times of bacteria under laboratory conditions do not correlate with genome size. Data are for 22 species for which doubling times were available in the literature, and include bacteria from ten major taxonomic divisions.

therefore probable that the profound effects on growth rates of ribosome kinetics and the translational process mask any influences of genome size on replication speed.

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## Box 2. Testing reductive evolution in intracellular bacteria

Bacterial lineages that form obligate, intimate associations with hosts show a repeated pattern of extreme reduction in genome size<sup>a-d</sup>. Obligate pathogens, such as *Mycoplasma*, *Rickettsia* and *Chlamydia*, have genome sizes of approximately one megabase (Mb) or less<sup>e</sup>, as do the endosymbiotic bacteria of aphids and tsetse flies (the bacteria *Buchnera* and *Wigglesworthia*, respectively)<sup>f,g</sup>. By contrast, free-living bacteria, including enteric bacteria, which are closely related to *Buchnera*, have genomes of 3–6 Mb (Ref. e). Because bacterial genomes consist primarily of genes encoding proteins (Table 1), this large-scale genome reduction implies a massive loss of genes. Genome reduction could result from several processes:

**Hypothesis 1:** Selection favoring small genome size drives the reduction. This selection could potentially be due to faster replication or energy savings connected with a small genome size<sup>h-k</sup>. This hypothesis is often presumed to be true without direct examination (Box 1).

**Hypothesis 2:** Increase in the rate of deletions or in the degree of deletional bias of novel mutations drives the reduction.

**Hypothesis 3:** Decrease in selection across many loci results in a large proportion of the genome that is effectively neutral, and these regions are eliminated by deletional bias in the mutation pattern. Effective neutrality of genes in newly symbiotic or pathogenic lineages could occur for several reasons. First, a portion of this reduction in genome size could be attributable to the loss of genes that are not required in the intracellular habitat. For example, genes underlying the biosynthesis of nutrients that can be assimilated from the host cell are missing in obligate symbionts and pathogens<sup>l-p</sup>, and genes underlying cell motility have been eliminated from the genome of *Buchnera*, which is nonmotile<sup>c</sup>. Second, increased levels of genetic drift, resulting from the population structure imposed by obligate association with hosts, could result in the fixation of missense mutations within genes that are beneficial but unnecessary. For example, numerous genes underlying DNA repair and genes enhancing transcription and translation are lacking in bacteria with small genomes. High levels of genetic drift in endosymbionts and pathogens are supported by a number of other features including rapid polypeptide evolution<sup>r</sup>, lack of codon

preferences<sup>s</sup>, and low levels of polymorphism within species<sup>5</sup>. Third, the habitats within hosts can be relatively benign, resulting in less stringent selection on the efficiency of basic cellular processes. Under any of these changes, fixation of mutations destroying gene functionality increases due to insufficient purifying selection.

Under Hypotheses 1 and 2, small genomes are expected to be more streamlined than large-genome counterparts. Whether driven by greater selection or by increased mutational pressure, functionless regions should be more effectively eliminated in the smaller genomes. By contrast, Hypothesis 3 does not predict that smaller genomes will also be more tightly packed. Under this hypothesis, deletional bias is ubiquitous in larger and smaller genomes, and smaller genomes differ in the extent to which selection counters mutation. Indeed, at some stages one might predict that genomes undergoing reduction will have more regions with no function, because they can retain pseudogenes sequences that have been rendered functionless but have not been entirely eliminated by selection.

As an initial test of these predictions, we examined the lengths of spacer regions across the sequenced bacterial genomes. We consider that a large proportion of these spacers are functionless, an assumption that is supported by the fact that their lengths are highly variable, their sequences are not conserved across strains or species, and some are formed by remnants of eroded pseudogenes (Table 2). Across the sequenced genomes, there is no correlation between spacer length and genome size (Fig. 3), suggesting that genome reduction is not the result of direct selection favoring elimination of functionless DNA.

In many cases, fully sequenced small-genome organisms are phylogenetically distant from fully sequenced large-genome organisms, making direct comparisons of spacers less compelling. However, *Buchnera aphidicola*, the obligate endosymbiont of aphids, has a very small genome (0.64 Mb) and is closely related to *E. coli*, which has a relatively large genome (4.6–5.5 Mb) (Refs c,f,t). Many regions of synteny are maintained between *E. coli* and *Buchnera* and, within these, are instances of spacers flanked by the same genes in both species. We compared the lengths of corresponding spacers in *Buchnera* and *E. coli* to determine the pattern of change in orthologous regions. Although segments of the *Buchnera* genome are

genome sizes within a bacterial species is often large enough to obscure placement into a discrete size class<sup>13</sup>. Third, phylogenetic analyses reveal that bacteria with the smallest genomes are derived from bacteria with larger genomes<sup>14</sup>.

### Deletional bias in bacterial genomes

Although duplications and resulting paralogous genes are apparent in many bacterial genomes<sup>12</sup>, there is growing evidence that the primary route through which bacterial species obtain new genes is by lateral transfer<sup>15–17</sup>. If lateral gene transfer is an ongoing process, why are bacterial genomes compact and not ever-expanding because of the influx of foreign

sequences? The obvious answer is that lineages must undergo the inactivation and loss of genes, and the elimination of the corresponding DNA that made up the genes. This could result if the mutational process driving the structural evolution of chromosomes is biased towards DNA loss. This bias could be of two types. Large deletions might remove one or more genes in a single event. Alternatively, a gene could first lose function through point or frameshift mutations to generate a pseudogene that is eroded and eliminated by subsequent small deletions. For deletional bias to lead to the loss of genes and the corresponding DNA, selection on those genes must be ineffective owing to low selection coefficients or to small population size.

**Table I. Lengths of spacers in *Buchnera aphidicola* (*A. pisum*) and *Escherichia coli* MG1655**

|   | Number | Mean length (bp) | Range (bp) | Median (bp) |
|---|--------|------------------|------------|-------------|
| Orthologous <i>E. coli</i> spacers <sup>a</sup> | 194    | 30.8 ± 3.6       | 0–315      | 12          |
| <i>Buchnera</i>                                 | 194    | 31.7 ± 3.1       | 0–319      | 15          |
| All spacers <i>E. coli</i>                      | 4403   | 120.4 ± 2.0      | 0–1730     | 63          |
| <i>Buchnera</i> <sup>b</sup>                    | 586    | 117.6 ± 6.0      | 0–1318     | 74          |

<sup>a</sup>Spacers flanked by the same coding genes, excluding spacers with annotated regulatory regions.  
<sup>b</sup>Excluding spacers flanking annotated pseudogenes.

rearranged on a chromosomal scale, local gene order and orientation is maintained for many genome fragments. We identified all syntenic fragments and determined the spacer lengths wherever both flanking genes were present in both species (spacers containing an annotated functional region were not included). Although spacers can contain regulatory regions that could be under selection, portions of spacers consist of nonfunctional DNA, as supported by their extreme AT bias, lack of sequence conservation and loss of promoter regions in *Buchnera*<sup>c,u</sup>.

The average length and overall size range of orthologous spacers are virtually identical in the two species and show no trend towards reduction in *Buchnera* (Table I;  $T$  value = -0.78,  $P = 0.82$ ;  $n = 194$ ). This test could be biased if spacers contain regions affecting gene expression, raising the possibility that spacer size is preserved by selection in one or both lineages. However, because preservation of promoter sequences appears to be stronger in *E. coli*, non-neutrality of spacers would bias the comparison towards smaller spacers in *Buchnera*, a bias that would have produced an opposite trend and weighed against Hypothesis 3. *Buchnera* lacks promoters in more than 35% of cases in which the orthologous *E. coli* region is known to contain them, and many ribosome-binding Shine–Delgarno sequences are deteriorated as well. Despite stronger selective constraints acting on *E. coli* spacers, the orthologous spacers in *Buchnera* have not decreased in size. Thus, the test is conservative and not biased toward smaller spacers in *Buchnera*, providing evidence against direct selection as the basis for reduction in *Buchnera* genome size.

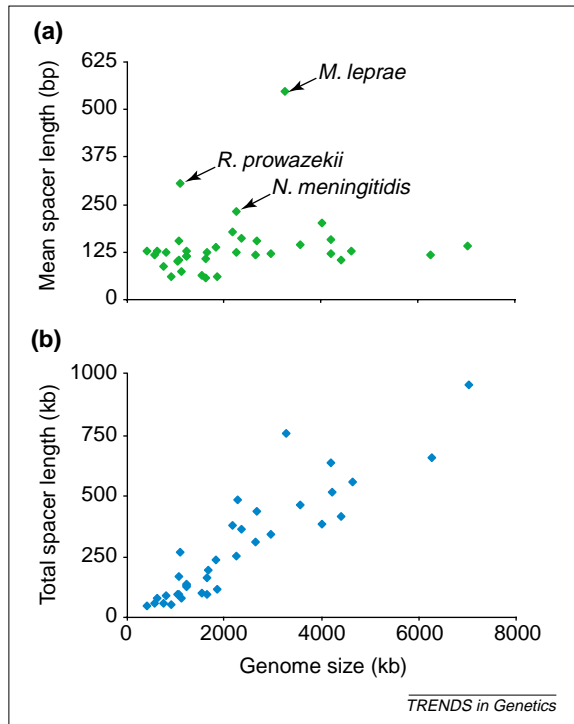
The existence of a deletional bias can be evaluated by comparing known pseudogenes with their functional counterparts in the same or other taxa. Because pseudogenes are functionless and under no selective constraints, the profile of mutations in these regions reflects the actual frequency of different mutational classes<sup>18–20</sup>. In *Rickettsia* pseudogenes, deletions are more common and longer than insertions<sup>20,21</sup>. To assess the extent of deletional bias in bacteria, we surveyed cases of known pseudogenes in a broad taxonomic range of bacteria (Fig. 2). In every case, deletions are more frequent than insertions and have a much greater net effect on genome size. Here, we are assuming that the

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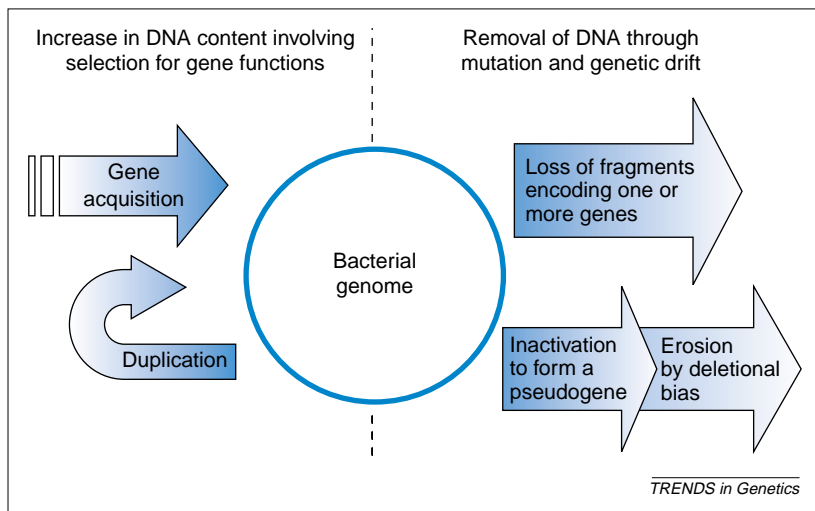
observed deletional frequency in pseudogenes reflects the pattern of spontaneous mutation and is not elevated by selection on genome size itself. This assumption is based on the observation that a single deletion of one or a few basepairs will alter the size of the genome by a factor of  $\sim 10^{-6}$ . Thus, even if DNA replication were to limit the rate of cell division (Box 1), the selection coefficient on small deletions would be so small as to approximate zero<sup>19</sup>.

The comparisons in Fig. 2 are conservative estimates of the amounts of deleted DNA because they do not take into account large deletions that remove all or most of a gene, such that it is absent, nor genes that have mutated to a degree such that they



**Fig. 3.** The relationship between genome size and noncoding DNA in eubacteria. (a) Relationship between genome size and mean spacer length. The three species with exceptionally long spacers are bacteria with high numbers of pseudogenes: *Mycobacterium leprae*, *Rickettsia prowazekii* and *Neisseria meningitidis*. (b) Relationship between genome size and summed spacer length in eubacteria ( $R^2 = 0.762$ ). Taxa are listed in Table 1.

are unrecognizable. For example, intergenic spacers in *Mycobacterium leprae* are, on average, more than five times longer than those in its sister species, *Mycobacterium tuberculosis* (Table 1), suggesting the presence of additional pseudogenes eroded beyond recognition. Thus, considering that our analysis is limited to the more conservative comparisons, the propensity for deletion is profound. Analyses of the



**Fig. 4.** Processes involved in the evolution of genome size in bacteria. New sequences are acquired by DNA transfer and gene duplication, the former being the predominant mode of DNA increase within most species. DNA loss can be produced by large deletions eliminating one or more genes in a single event, or by loss of function followed by subsequent deletions of the resulting pseudogenes.


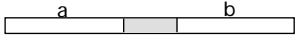
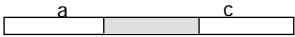
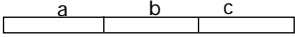
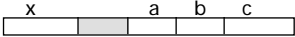
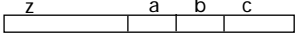
total gene contents in natural isolates of *Escherichia coli* show that large deletions also are sometimes fixed within lineages, removing several genes at once<sup>22</sup>. Similarly, at least 25 long deletions were identified in different strains of *M. tuberculosis*, with one event removing as many as 16 open reading frames (ORFs)<sup>36</sup>. Large deletions have also been inferred for intracellular bacterial symbionts<sup>23</sup>.

These observations suggest that there is a constant eroding force of deletion that must be counterbalanced by selection on gene function. This requirement for selection to maintain sequences offers a general explanation for the paucity of nonfunctional DNA in bacteria. In addition, it has often been proposed that genome size, especially that of bacteria in intracellular habitats, is itself the object of selection; that is, that the tight packing and small size of bacterial genomes is an adaptation to promote efficiency or competitiveness during replication<sup>14,24–28</sup>. This view is consistent with any mutational pattern, including one biased towards deletion, but requires that fixation of individual deletions is driven by selection favoring smaller genomes. As noted above, small deletions would not confer substantial reduction in chromosome replication rate<sup>19</sup>, and the median size of the deletions in the pseudogene dataset is only 3 bp (Fig. 2). Analyses of sequenced genomes of different sizes suggest that genome reduction in intracellular symbionts does not result from selection (Box 2).

#### Degraded genes and persistent pseudogenes

The overall proportion of noncoding DNA is fairly similar (around 12%) among the fully sequenced genomes of different taxonomic groups (Fig. 3). These noncoding sequences provide a glimpse of the effects of deletional bias. For example, the large number of gene regions conserved between *Buchnera* and *E. coli* allows a direct assessment of how the extreme reduction of genomes occurs<sup>29</sup>. Within regions that show synteny with *E. coli*, spacers from *Buchnera* can be divided into two categories: 'ancient' spacers, which are those flanked on both sides by the same genes in *E. coli* and *Buchnera*, and 'amended' spacers, which are those in which one or more genes are absent from *Buchnera*, but with flanking genes that have the same gene order as in *E. coli* (Table 2). The ancient spacers, which are presumed to be orthologous sequences, are of similar average length in both species, despite the fact that *Buchnera* has undergone massive genome reduction (Box 2). By contrast, the amended spacers are over three times longer than the ancient spacers (Table 2). The most likely explanation for the additional length is that the amended spacers contain the residue of ancestral genes and represent highly eroded pseudogenes. The same length increase is found in *Buchnera* spacers located between regions of synteny with *E. coli*. These could

Table 2. Spacer lengths in *Buchnera* (*A. pisum*)

| Spacers within <i>Buchnera</i>                      |   | Number          | Mean length (bp) |
|---|---|-----------------|------------------|
| Ancient spacers <sup>a</sup>                        |  | <i>Buchnera</i> | 270              |
|   |  | <i>E. coli</i>  |                  |
| Amended spacers <sup>b</sup>                        |  | <i>Buchnera</i> | 165              |
|   |  | <i>E. coli</i>  |                  |
| Spacers at termini of syntenic regions <sup>c</sup> |  | <i>Buchnera</i> | 162              |
|   |  | <i>E. coli</i>  |                  |

<sup>a</sup>Spacers with the same flanking genes in both *Buchnera* and *Escherichia coli*.  
<sup>b</sup>Spacers with flanking genes that conserve ancestral order and that occur where one or more additional genes are present in *E. coli*.  
<sup>c</sup>Spacers at the ends of rearranged fragments.

be degraded pseudogenes formed during chromosome rearrangements. Similar instances of eroded pseudogenes can be observed in *M. leprae*, based on comparisons with *M. tuberculosis*<sup>30</sup>. The retention of such augmented functionless regions in a highly reduced genome suggests that the loss of gene function is not strictly coupled with the loss of DNA.

Persistence of DNA following loss of gene function is also evident from analyses of bacterial genomes. For example, the eight pseudogenes annotated in *Buchnera* of *Acyrtosiphon pisum* are not appreciably shorter than their functional counterparts in *E. coli* ( $T$ -value =  $-0.447$ ,  $P = 0.669$ ). In one case, the *cmk* pseudogene shares an identical 16-bp deletion in *Buchnera* from *A. pisum* and in *Buchnera* from *Schizaphis graminum*, which diverged at least 50 million years ago<sup>31</sup>. This implies that *cmk* has been a pseudogene for at least that long, yet there is little other reduction in the length of this pseudogene in either species. Other examples of ancient pseudogenes occur in *Buchnera* from *Diuraphis noxia*, in which *trpEG* pseudogenes are shared among isolates from diverse geographic locations<sup>32</sup>. In *R. prowazekii*, only 76% of the genome encodes proteins (Table 1), and at least 12 pseudogenes have been retained for long periods within this clade of intracellular pathogens<sup>21,33</sup>. Other examples of persistent pseudogenes are known from the spirochete *Borrelia burgdorferi*<sup>34</sup>, *Neisseria meningitidis*<sup>35</sup> and *M. leprae*<sup>30</sup>.

#### A model for genome size evolution in bacteria

These observations, from analyses of complete genomic sequences, suggest a simple model for the evolution of bacterial genome size based on the outcome of several opposing forces (Fig. 4). Deletional bias and genetic drift cause genomes to contract, whereas selection on gene function causes genomes to maintain DNA. Accretions in genome size depend on either duplications or the acquisition of exogenous DNA, but these events are only effective if the new genes confer some benefit (or if the DNA is somehow parasitic). Small-genome bacteria, by being sequestered in hosts, might have a reduced opportunity for gene uptake, and they might lose

pathways required to incorporate exogenous DNA. Thus, the larger genome size of free-living bacteria could reflect more-frequent acquisition of new genes, greater need for metabolic versatility or more-effective selection for the maintenance of weakly beneficial genes.

The loss of DNA occurs both through large deletions spanning multiple loci<sup>23,36</sup> and through small deletions of one or a few nucleotides (Fig. 2)<sup>20,21</sup>. The relative importance of these two routes will vary among bacterial lineages. On the basis of comparisons of *Buchnera* to free-living relatives, early stages in genome reduction involved large deletions spanning as many as 50 genes<sup>23</sup>. By contrast, modern *Buchnera* have stable genome sizes<sup>37</sup>, presumably because large deletions, involving loss of genes, are lethal. The final reduction of spacers will be slow, because most large deletions will overlap coding or promoter regions and thus be selected against, and small deletions remove DNA only gradually.

Not all of the processes depicted in Fig. 4 occur at the same rates, and some bacterial genomes might be in the process of increasing or decreasing in size. In particular, the transition to intracellular life probably imposes an abrupt decrease in the effectiveness of selection on many genes, whereas drift and deletional bias remove nonfunctional DNA at low rates. The differences among organisms in spacer length and the persistence of recognizable pseudogenes will reflect the time elapsed since the lifestyle shifts occurred and the frequency of deletion events. Thus, immediately following a change to a lifestyle in which selection is less effective in preserving gene function, many pseudogenes will appear. The unusually large proportion of pseudogenes in *M. leprae*<sup>30</sup> could represent an early stage in the process of genome reduction. *Buchnera*, *Mycoplasma genitalium* and *R. prowazekii*, which have relatively short spacers and fewer pseudogenes, might be at more-advanced stages in this process. Thus, at any point through evolutionary time, deletional bias appears to be a major force shaping bacterial genomes, perhaps explaining their small size and tight packing of their genes.

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